

05/30/01

U.S. PTO

Please type a plus sign (+) inside the box.

PTO/SB/05 (11-00)  
Applicable for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	MR1957-543
First Inventor	KWELL HUNG
Title	FINGERPRINT IDENTIFICATION APPARATUS
Express Mail Label No.	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 11]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. ☐ Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - I. ☐ CD-ROM or CD-R (2 copies); or
    - II. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS


9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.: _____
Prior application information:		Examiner: _____	Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below			
Name	Morton J. Rosenberg, Esq. Rosenberg, Klein & Lee				
Address	3458 Ellicott Center Drive - Suite 101				
City	Ellicott City	State	MD	Zip Code	21043
Country	USA	Telephone	410-465-6678	Fax	410-461-3067

Name (Print/Type)	Morton J. Rosenberg	Registration No. (Attorney/Agent)	26,049
Signature		Date	5/29/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL

## for FY 2001

**Patent fees are subject to annual revision.**

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 750.00
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**Complete if Known**

<b>Application Number</b>	
<b>Filing Date</b>	
<b>First Named Inventor</b>	KWELL HUNG
<b>Examiner Name</b>	
<b>Group Art Unit</b>	
<b>Attorney Docket No.</b>	MR1957-543

## METHOD OF PAYMENT

- |                        |
|------------------------|
| Deposit Account Number |
| Deposit Account Name   |

18-2011

**Rosenberg, Klein & Lee**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

## 1. BASIC FILING FEE

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Measurement of Revenue</b>	Revenue is measured at the fair value of the consideration received or receivable, adjusted for discounts, allowances, and other adjustments.	Revenue is measured at the fair value of the consideration received or receivable, adjusted for discounts, allowances, and other adjustments.
3. <b>Cost of Sales</b>	Cost of sales is measured at the cost of the goods or services sold, including direct materials, direct labor, and overhead costs.	Cost of sales is measured at the cost of the goods or services sold, including direct materials, direct labor, and overhead costs.
4. <b>Impairment of Financial Assets</b>	Financial assets are measured at amortized cost, and impairment is recognized when there is a significant increase in credit risk.	Financial assets are measured at amortized cost, and impairment is recognized when there is a significant increase in credit risk.
5. <b>Impairment of Non-Financial Assets</b>	Non-financial assets are measured at cost less accumulated depreciation and amortization, and impairment is recognized when the carrying amount exceeds the recoverable amount.	Non-financial assets are measured at cost less accumulated depreciation and amortization, and impairment is recognized when the carrying amount exceeds the recoverable amount.
6. <b>Provisions and Contingent Liabilities</b>	Provisions and contingent liabilities are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.	Provisions and contingent liabilities are measured at the best estimate of the amount required to settle the obligation, taking into account the time value of money and the risk of non-settlement.
7. <b>Share-based Payments</b>	Share-based payments are measured at the fair value of the equity instrument granted, and the expense is recognized over the vesting period.	Share-based payments are measured at the fair value of the equity instrument granted, and the expense is recognized over the vesting period.
8. <b>Financial Instruments</b>	Financial instruments are measured at fair value, and changes in fair value are recognized in profit or loss.	Financial instruments are measured at fair value, and changes in fair value are recognized in profit or loss.
9. <b>Income Taxes</b>	Income taxes are measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.	Income taxes are measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.
10. <b>Other</b>	Other items are measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.	Other items are measured at the best estimate of the amount payable, taking into account the time value of money and the risk of non-payment.

Large Entity Fee Code	Small Entity Fee (\$)	Fee Code	Fee (\$)	Fee Description
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101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

**Fee Paid**

**\$ 710**

<b>SUBTOTAL (1)</b>	<b>(\$)</b>	<b>\$ 710</b>
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## 2. EXTRA CLAIM FEES

		Extra Claims		Fee from below	Fee Paid
Total Claims	8	-20** =	0	X	18 = 0
Independent Claims	1	-3** =	0	X	80 = 0
Multiple Dependent					270 = 0

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Cost of Sales</b>	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. <b>Impairment of Financial Assets</b>	Impairment of financial assets is recognized when there is a decrease in the fair value of the asset, and it is measured at the difference between the carrying amount and the fair value.	Impairment of financial assets is recognized when there is a decrease in the fair value of the asset, and it is measured at the difference between the carrying amount and the fair value.
4. <b>Impairment of Non-Financial Assets</b>	Impairment of non-financial assets is recognized when there is a decrease in the carrying amount of the asset, and it is measured at the difference between the carrying amount and the fair value.	Impairment of non-financial assets is recognized when there is a decrease in the carrying amount of the asset, and it is measured at the difference between the carrying amount and the fair value.
5. <b>Provisions</b>	Provisions are recognized when there is a liability of uncertain timing or amount, and it is measured at the best estimate of the amount required to settle the liability.	Provisions are recognized when there is a liability of uncertain timing or amount, and it is measured at the best estimate of the amount required to settle the liability.
6. <b>Share-based Payments</b>	Share-based payments are recognized when the entity receives services from employees or directors, and it is measured at the fair value of the equity instruments issued.	Share-based payments are recognized when the entity receives services from employees or directors, and it is measured at the fair value of the equity instruments issued.
7. <b>Financial Instruments</b>	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and it is measured at the fair value of the instrument.	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability, and it is measured at the fair value of the instrument.
8. <b>Income Tax</b>	Income tax is recognized when the entity has a liability to pay tax, and it is measured at the amount of tax payable.	Income tax is recognized when the entity has a liability to pay tax, and it is measured at the amount of tax payable.
9. <b>Other</b>	Other items are recognized when they are required by the accounting standards, and they are measured at the fair value of the item.	Other items are recognized when they are required by the accounting standards, and they are measured at the fair value of the item.

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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103	18	203	9	Claims in excess of 20
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102	80	202	40	Independent claims in excess of 3
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102	270	204	135	Independent claims in excess of 5
104	270	204	135	Multiple dependent claim, if not paid

109	80	209	40	** Reissue independent claims over original patent
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110	18	210	9	** Reissue claims in excess of 20 and over original patent
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<b>SUBTOTAL (2)</b>	<b>(\$)</b>	<b>0</b>
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**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$ 40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

<b>SUBTOTAL (3)</b>	<b>(\$)</b>	<b>40</b>
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## SUBMITTED BY

Name (Print/Type)

**Morton J. Rosenberg**

**Signature**

Registration No. \_\_\_\_\_  
(Attorney/Agent)

26.049

**Complete (if applicable)**

<b>Telephone</b>	<b>410-465-6678</b>
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**Date**

5/29/01

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